Proposed Updates to the GNSO Operating Procedures

Review of Working Group Self-Assessment Requirements

Review of GNSO Statement of Interest Requirements

September 2022

CCOICI & GNSO SOI TF

The GNSO Framework for Continuous Improvement Pilot was initiated in June 2021 to determine whether the framework could serve as an approach for dealing with the various projects that are focused on improvements to GNSO processes and procedures.

Council Committee for Overseeing and Implementing Continuous Improvement

o Consisting of Council members
o Assignment: consider if/how WG Self-Assessment (WGSA) can be improved and possibly enhanced with a periodic assessment as well as exit interview with interested parties to help identify at an early stage potential issues as well as future improvements to be considered.

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GNSO Statement of Interest Task Force

o Consisting of SG/C representatives
o Tasked by CCOICI to review Statement of Interest requirements and make recommendations accordingly. This should include soliciting input from the community on the current use and experience with SOIs as well as suggestions for possible improvements.

Proposed Updates – Working Group Self-Assessment

1. **GNSO Operating Procedures:**
   - **Working Group Guidelines Section 7.0 – Working Group Self Assessment**
   - **Section 6.2 – GNSO Working Group Charter Template**
2. **GNSO Working Group Charter Template**
3. **Working Group Self Assessment Survey**

Proposed updates reflect:

- ◉ Stating objective of WGSA: inform the Chartering Organization of potential issues that might need to be immediately addressed (periodic survey) or for future efforts (closure survey);

- ◉ Charter to state if and when a periodic survey and/or closure is expected to take place to provide flexibility;

- ◉ Clarity around what will be publicly available and with whom information is shared;

- ◉ Permitting anonymous responses, but with ability to send unique link to WG members to ensure that only WG members respond;

- ◉ New/updated questions focused on performance of WG leadership, Council liaison and staff support.

New – Working Group Self-Assessment

1. **Periodic Survey**
2. **Survey tool technical requirements**

- ⊙ A new periodic survey template that would normally be conducted after the publication of the Initial Report (unless charter indicates differently, or Council decides differently);

- ⊙ Includes PDP 3.0 proposed survey questions regarding leadership;

- ⊙ Council leadership, in consultation with liaison to the WG, may decide to modify the survey, if deemed necessary;

- ⊙ Set of technical requirements that the survey tool would ideally possess.

Proposed Updates – GNSO SOI

1. **GNSO Operating Procedures:**
   - **Annex A – Chapter 6.0: Statements of Interest**
2. **SOI Template**

Proposed updates reflect:

⦿ Splitting current SOI into two parts:
  - ○ General Statement of Interest, which contains general information about a participant
  - ○ Activity Specific Statement of Interest, which provides information specific to the activity that a participant has requested to participate in

⦿ Updates to SOI questions to reflect this split as well as clarifying questions, including providing further guidance on how to report on representation in case professional ethical obligations prevent disclosing name of entity / client.

Miscellaneous updates to GOP

- **Updating links and references**
- **Correcting minor typographical errors**
- **Correcting grammatical errors**
- **Consistency of terminology**

Public Comment Forum – For Review

CCOICI Review of Working Group Self-Assessment Requirements

GNSO Statement of Interest Task Force Review of Statement of Interest Requirements

Sample Statement of Interest Input Form

Overview of proposed changes to the GNSO Operating Procedures

GNSO Operating Procedures Redline Version

Deadline for input: 27 October 2022

Next Steps

ICANN75
- Opportunity for CCOICI and SOI TF members to brief community groups on proposed changes

Public Comment
- Public comment forum open until 27 October 2022 (see https://www.icann.org/en/public-comment/proceeding/updates-to-the-gnso-statement-of-interest-soi-procedures-and-requirements-09-09-2022)

Comment Review
- CCOICI and SOI TF to respectively consider input received and update recommendations accordingly (Q4 2022)

Council Approval
- Council to consider proposed updates and new materials for approval (Q4 2022 / Q1 2023)

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