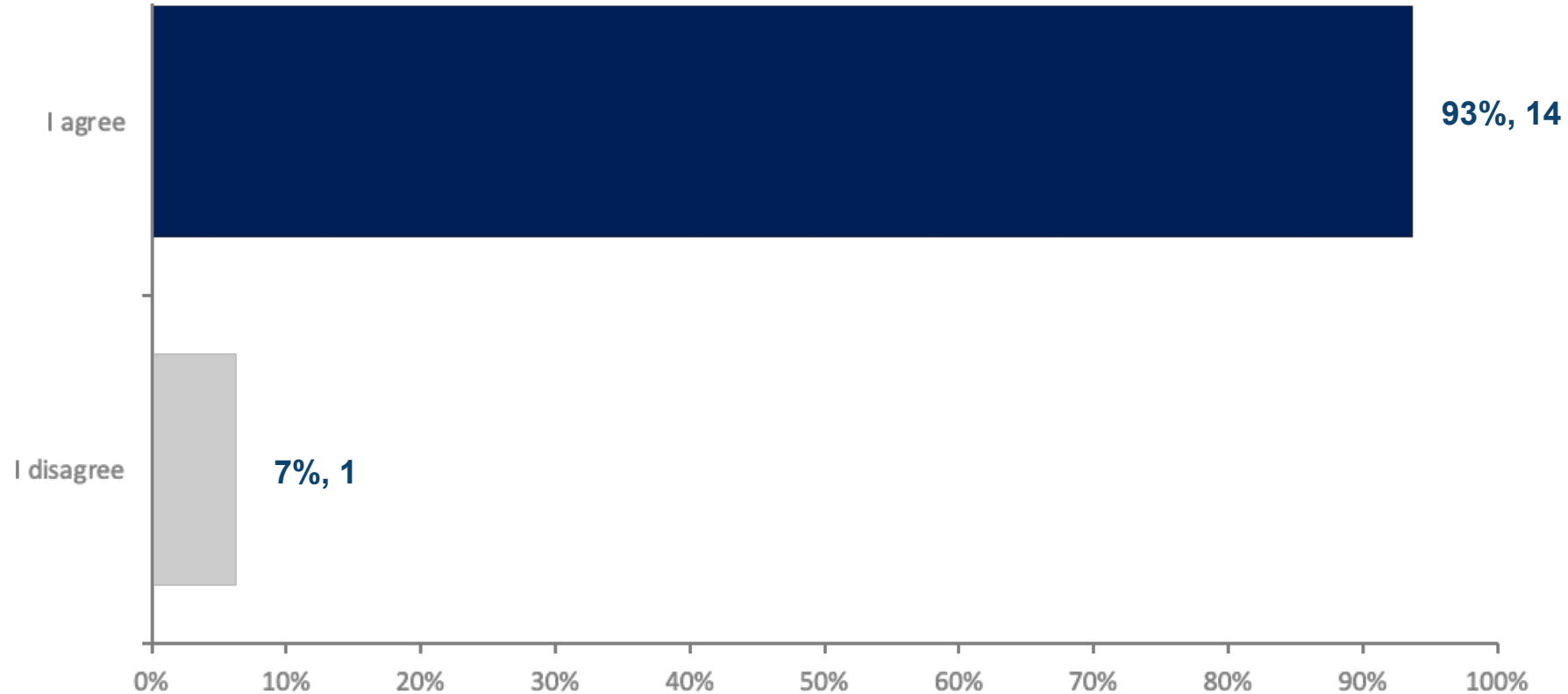


Meeting - Agenda (22 January)

- **Welcome & Review of Action Items** — ICANN org (5 minutes)
- **Discuss Survey Results** – Co-Chairs (75 minutes)
- **Meeting Summary** — Co-Chairs and ICANN org (5 minutes)

Analysis of Survey Results - Purpose

Q1: The purpose of the Holistic Review is to perform a periodic review of each SO, AC, and NomCom individually as they are currently constituted, and as a whole, including their interrelations. **Note:** This purpose is derived from the language included in ATRT3's Final Report (pg. 68) as discussed in the Draft Staff Paper: Examination of ATRT3 Recommendation 3.5 (pg. 1,2).



Analysis of Survey Results - Scope

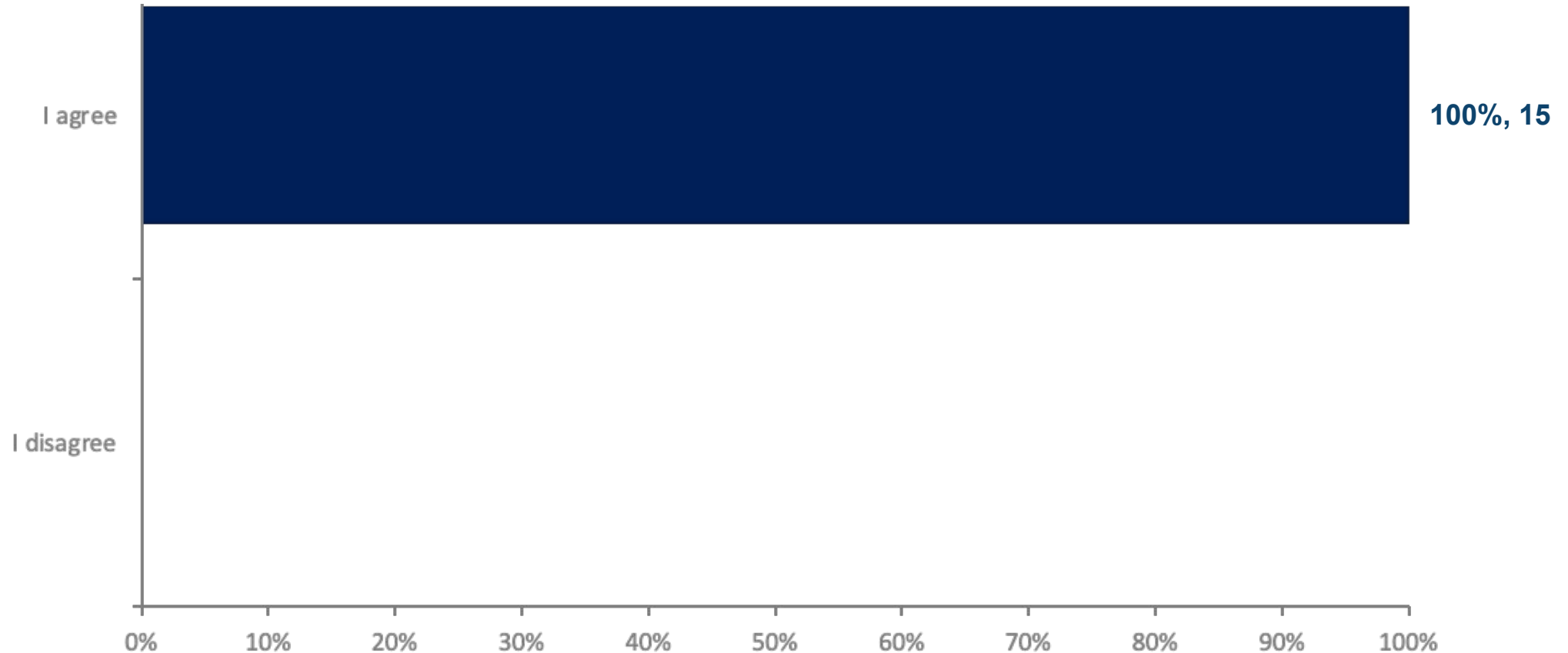
Q1: The purpose of the Holistic Review is to perform a periodic review of each SO, AC, and NomCom individually as they are currently constituted, and as a whole, including their interrelations. **Note:** This purpose is derived from the language included in ATRT3's Final Report (pg. 68) as discussed in the Draft Staff Paper: Examination of ATRT3 Recommendation 3.5 (pg. 1,2).

Disagree

1. ATRT3 report: "As such ATRT3 will recommend the creation of a new Specific Review in addition to the continuous improvement programs for each SO/AC. This new review would be a Holistic Review assessing all SO/ACs to ensure that the Section 4.4 Bylaws requirements are still being met for each but will also consider SOs/ACs as a whole as well as their interrelations."

Analysis of Survey Results - Scope

Q3: The scope of the Holistic Review includes: Review continuous improvement efforts of SO/AC/NC based on good practices. **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).



Analysis of Survey Results - Scope

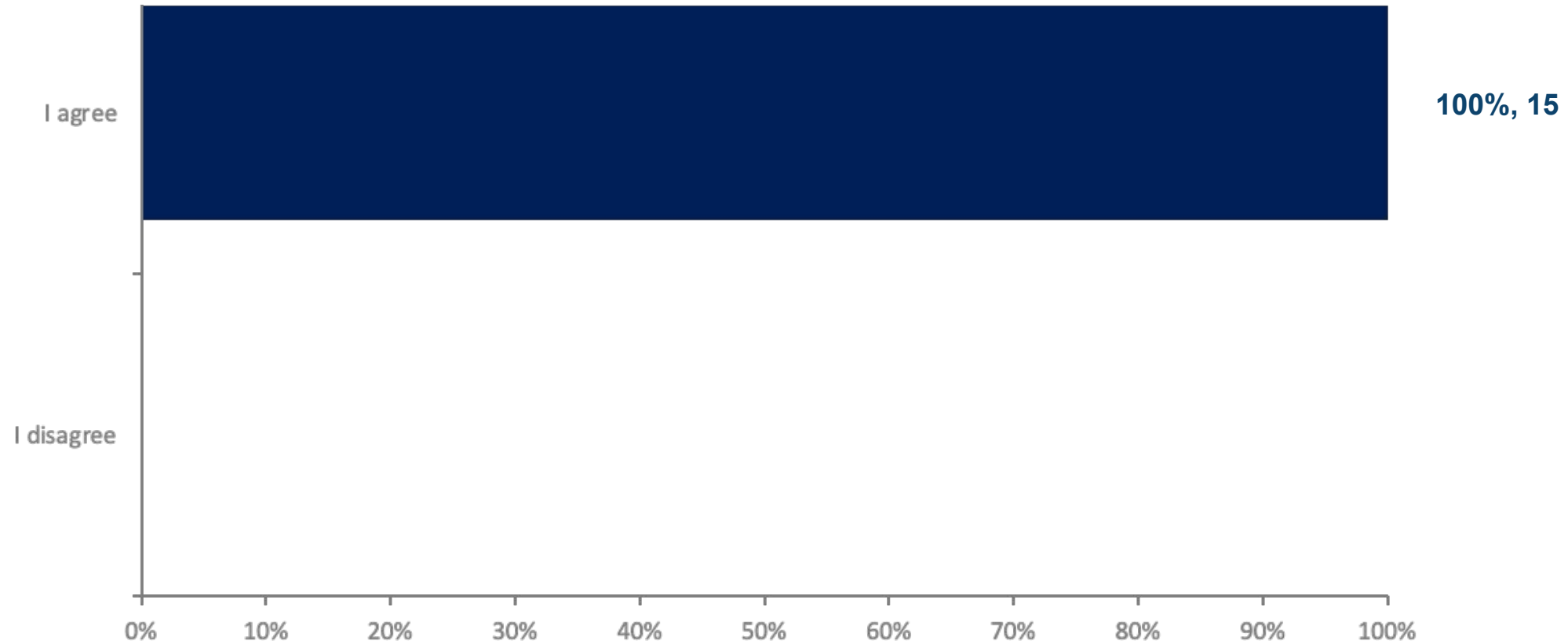
Q3: The scope of the Holistic Review includes: Review continuous improvement efforts of SO/AC/NC based on good practices. **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).

Agree

1. But do we need to agree with the ATRT3 report? We have to implement it.
2. "good practices" have to be properly defined and agreed upon by the specific SO/AC/Nomcom.
3. I agree but would like to note that good practices/guidelines should be explicitly spelled out and documented

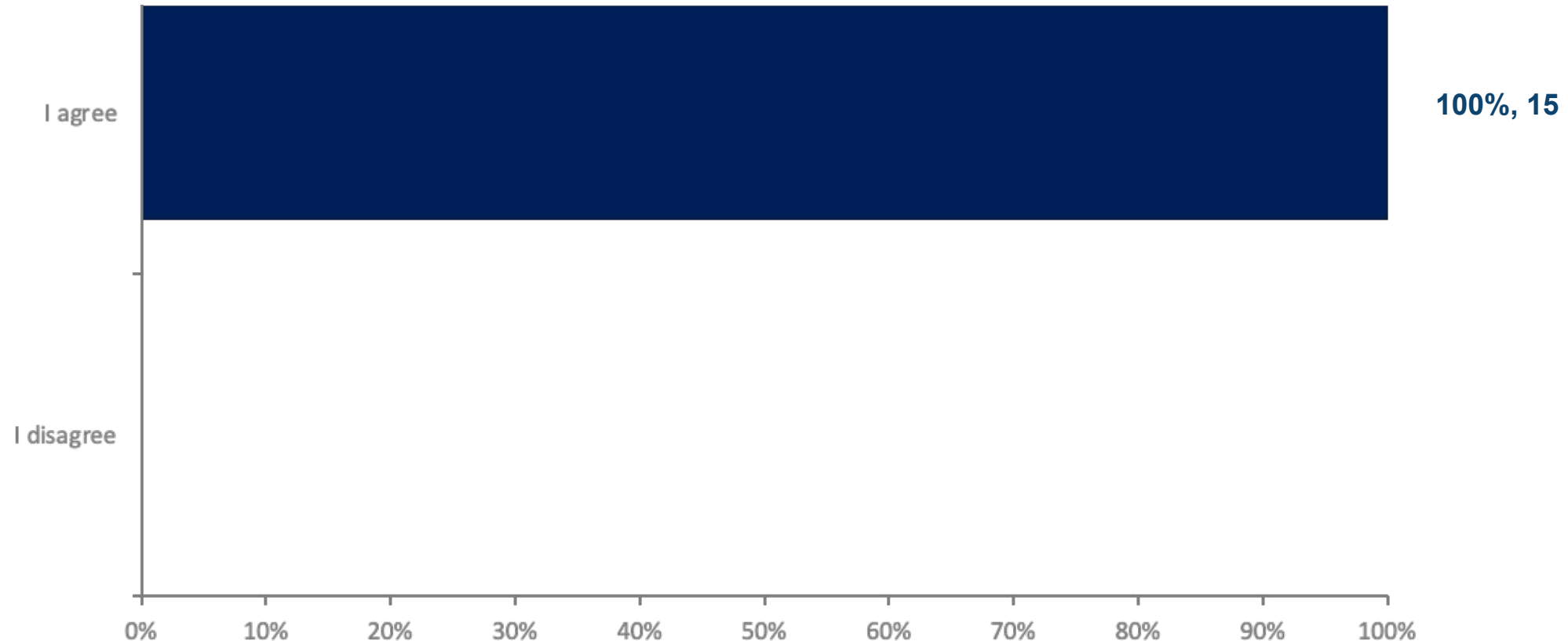
Analysis of Survey Results - Scope

Q5: The scope of the Holistic Review includes: Review the effectiveness of the various inter-SO/AC/NC collaboration mechanisms. **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).



Analysis of Survey Results - Scope

Q7: The scope of the Holistic Review includes: Review the accountability of SO/ACs or constituent parts to their members/constituencies (this will include an in-depth analysis of the [CIP] survey results). **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).



Analysis of Survey Results - Scope

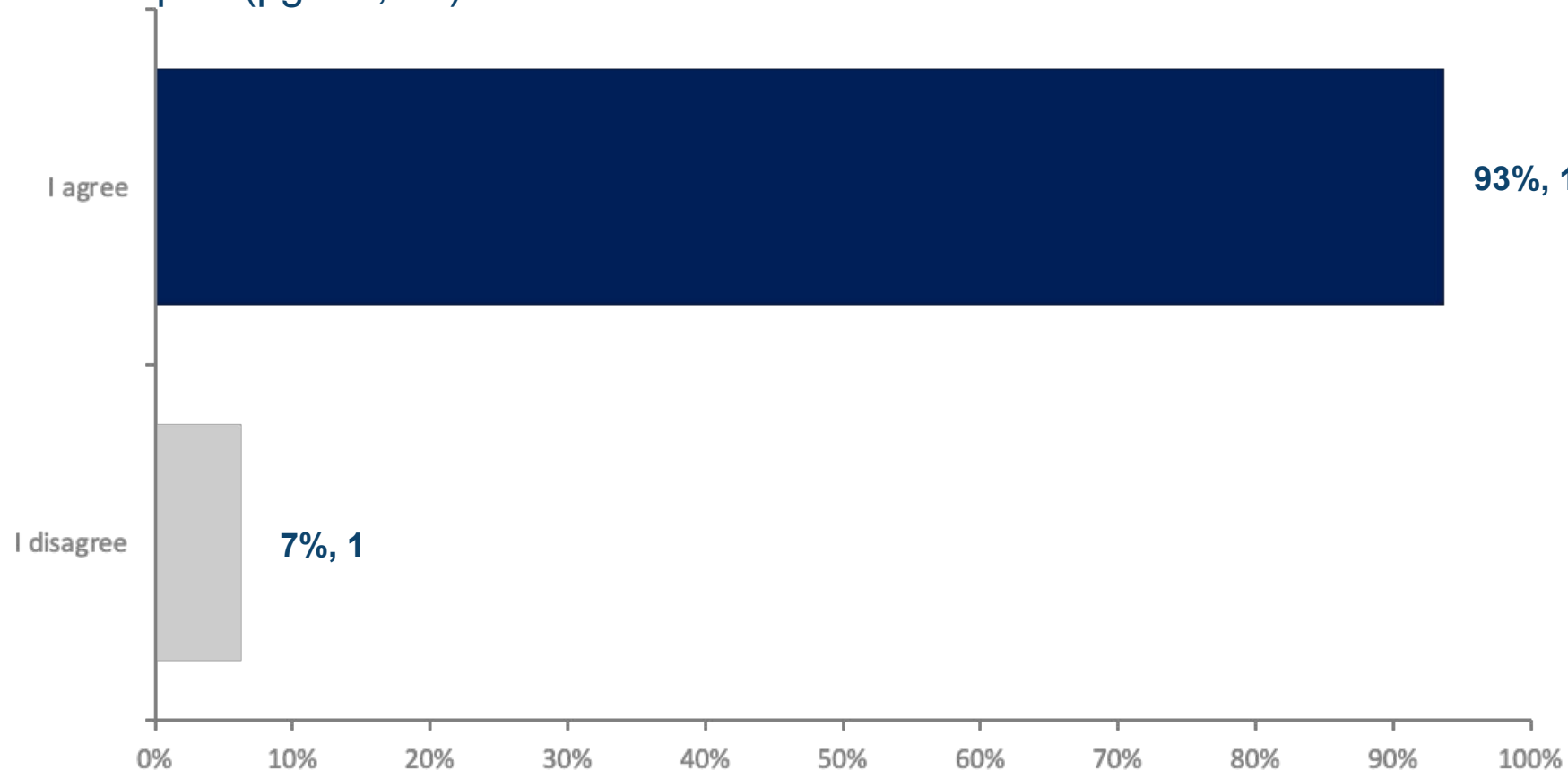
Q7: The scope of the Holistic Review includes: Review the accountability of SO/ACs or constituent parts to their members/constituencies (this will include an in-depth analysis of the [CIP] survey results). **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).

Agree

1. But do we need to agree with the ATRT3 report? We have to implement it.
2. This should also be done with reference to the Bylaws' mandated purpose of each SO and AC.
3. The scope of accountability should be determined keeping in view the opinion of the specific SO/AC/Nomcom and should also align with the accountability mechanisms used in the CIP process by the particular SO/AC/Nomcom.

Analysis of Survey Results - Scope

Q9: The scope of the Holistic Review includes: Review [each] SO/AC/NC as a whole to determine if they continue to have a purpose within the ICANN structure as they are currently constituted, or if any changes in structures and operations are desirable to improve the overall effectiveness of ICANN as well as ensure optimal representation of community views (but taking into consideration any impacts on the Board or the Empowered Community). Note: The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).



Analysis of Survey Results - Scope

Q9: The scope of the Holistic Review includes: Review [each] SO/AC/NC as a whole to determine if they continue to have a purpose within the ICANN structure as they are currently constituted, or if any changes in structures and operations are desirable to improve the overall effectiveness of ICANN as well as ensure optimal representation of community views (but taking into consideration any impacts on the Board or the Empowered Community). **Note:** The scope is derived from the Holistic Review objectives as stated by the ATRT3 in its Final Report (pg. 22, 72).

Disagree

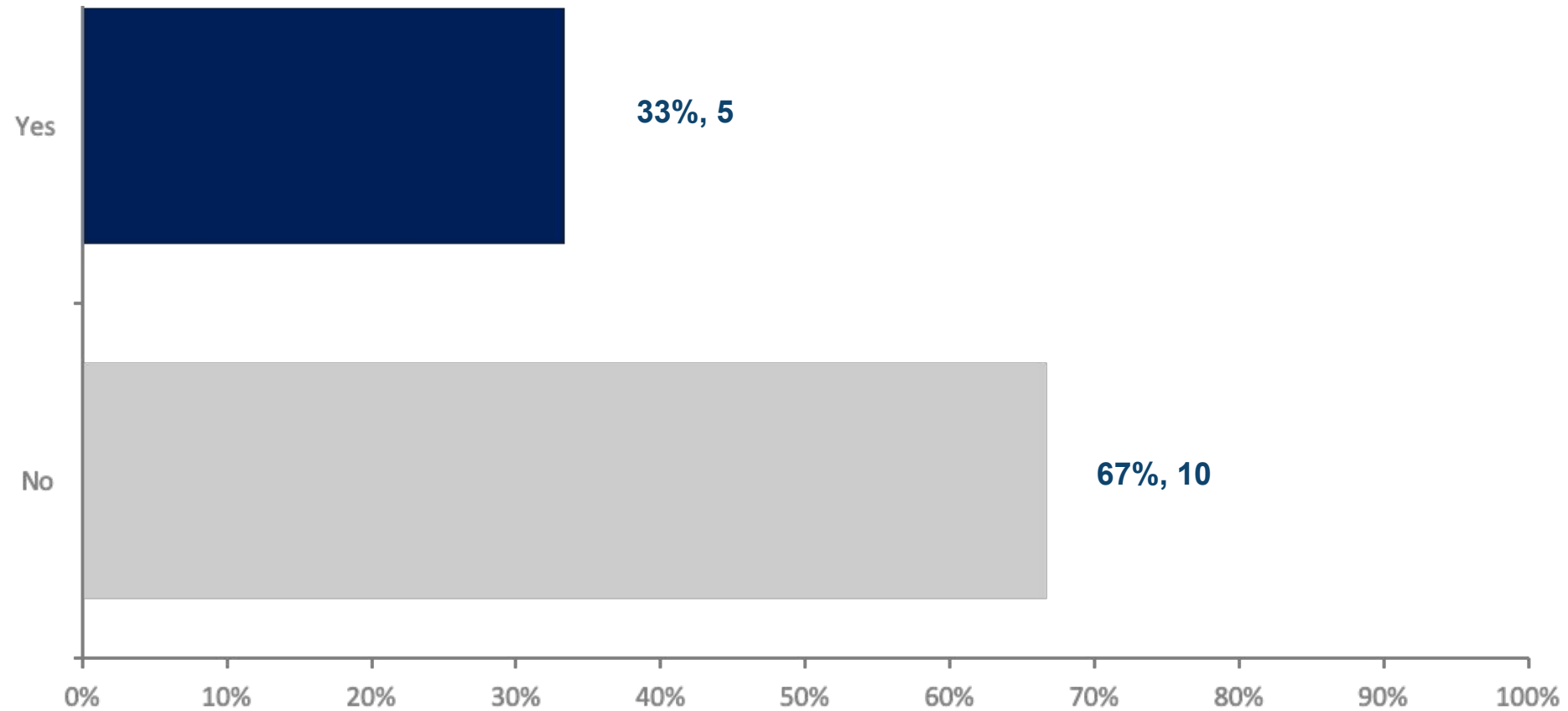
1. ATRT3 spend time to write carefully the recommendation. Why to add (each) word?

Agree

1. I am concerned about the lack of clarity here. Can the Holistic Review recommend that the GNSO should be restructured in a particular way or merely that the GNSO should consider restructuring to become more effective etc.?
2. I agree, however, this statement should be clarified more: "but taking into consideration any impacts on the Board or the Empowered Community".

Analysis of Survey Results - Scope

Q11: Do you think that there is anything else that is in scope for the Holistic Review that has not been included?



Q11: Do you think that there is anything else that is in scope for the Holistic Review that has not been included?

Yes

1. I think it is not possible to completely define the scope at this point in time. Having high level agreement is important, but I support this process being iterative and flexible in line with these high level scoping statements.
2. 1. We must define periodicity of CIP and Holistic Reviews 2. Who take final decision if SOs/Acs/NC differ from CIP/HR recommendations
3. A Holistic Review should not only focus on the existing structure, although I support the principle that each existing element should be evaluated. In addition there is a need to determine and evaluate alternative structures that may better meet not only today's requirements, but evolving requirements going forward. ICANN's current structure has changed little throughout its life, but the market place environment today is very different. Technology has also advanced and continues to evolve. The challenges facing ICANN today are far different from when it was formed and any holistic review of the organisation should not start with the assumption the current structure is still valid. Structural review must be a key element, otherwise the holistic review will only constitute another way of tackling the stove pipe reviews of each part of the organisation that was undertaken in the past. That is not a valid holistic review of the organisation.
4. The holistic review must consider: 1. What are the high level, strategic, overall objectives of the process: goals, objectives, etc. 2. What methodologies are appropriate to get to insights, per the different constituencies involved? 3. Is it possible to create indicators, measurements, ... to track this internally and across the relevant groups? (This is likely very difficult, if not impossible) 3a. Considering 3., how can this be aligned to allow flexibility but retain a functional outcome?

Q11: Do you think that there is anything else that is in scope for the Holistic Review that has not been included?

Yes

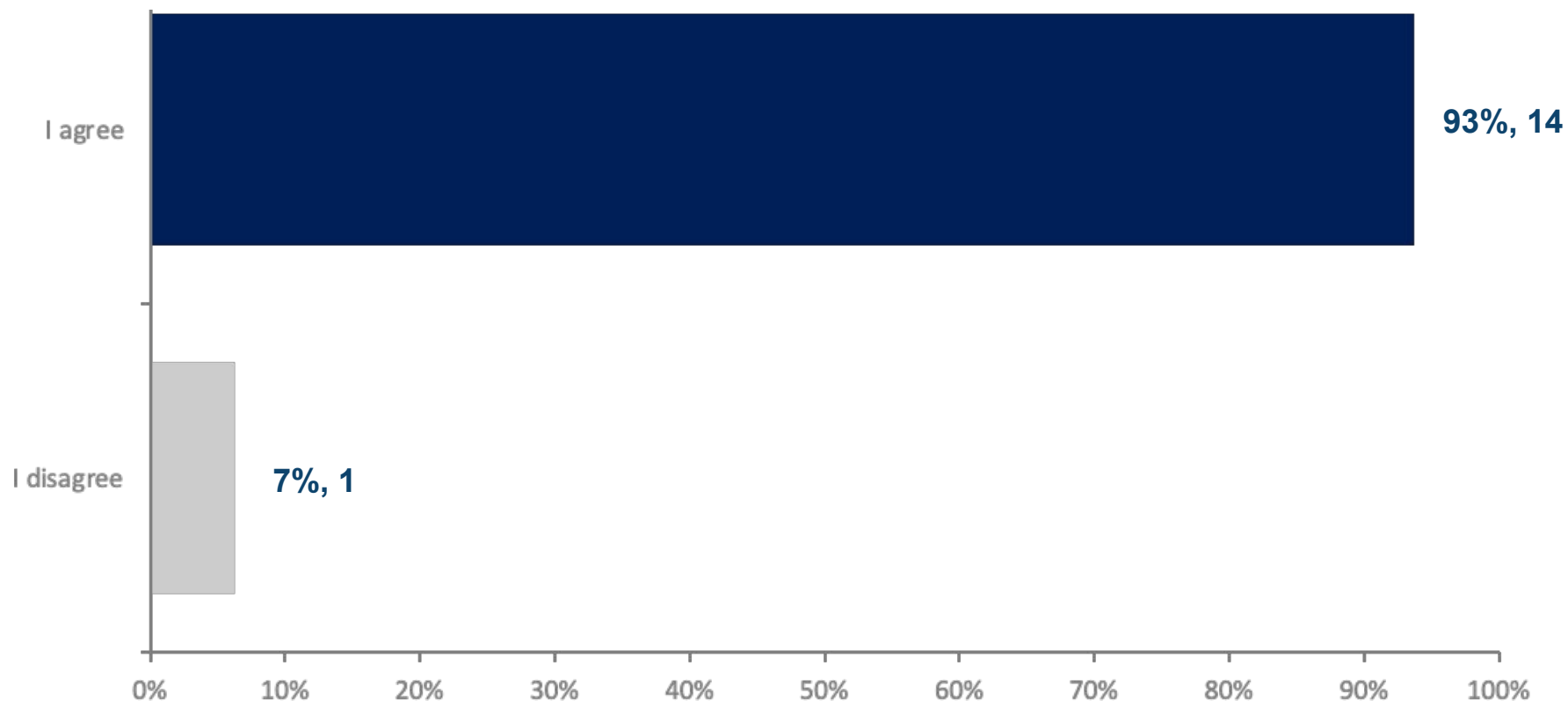
5. Based on the HR scope presented here, which is derived from the ATRT3 documents, I propose the following strawman HR scope to initiate the discussion on creating the bylaw change, which is one of the key deliverables. If we prioritize this step first, we will be in a stronger position to address the remaining deliverables, including the issue with the CIP data:

Scope of the Holistic Review: The Holistic Review will:

- 1.0 Include a global assessment of the operation and structure of SOs, ACs, and NomCom, considering the individual effectiveness of each and their interactions.
2. Focus specifically on identifying any structural or operational changes, including creating new structures where necessary and examining the potential consolidation or elimination of existing structures that may no longer serve the intended purpose or enhance the effectiveness of ICANN's operations.
3. Incorporate data from CIP reporting and public comment proceedings as part of the evaluation process. Submitted at least every three years, these reports will be the foundation for evaluating progress and identifying areas requiring further action.
4. Focus on continuous improvement efforts and the degree to which organizations have adopted good practices to enhance the multistakeholder model and accountability mechanisms.
5. Take into account the possible consequences, of each proposed change/evolution/enhancement, on the Board and the Empowered Community. Ensure all findings and recommendations are fact-based, transparent, and aligned with ICANN's Mission. The Holistic Review will not be: A review (in depth) of each SO, AC and NomCom. That is the goal of the CIP.

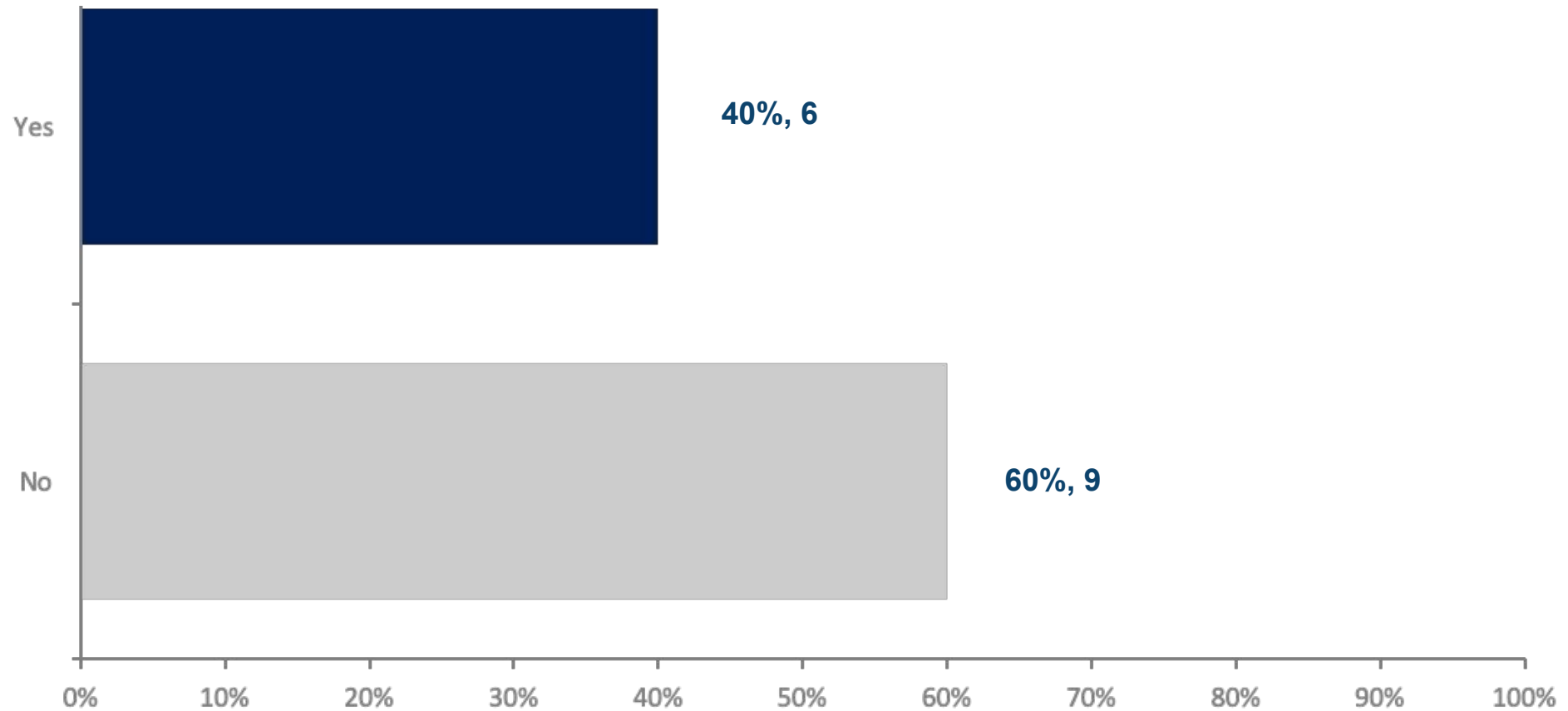
Analysis of Survey Results - Primary Input

Q13: SO/AC and NomCom Continuous Improvement Program reporting, and its subsequent Public Comment proceedings, will produce the initial data required for a Holistic Review to start its review as outlined in ATRT3's stated Holistic Review objectives. **Note:** The principles of the Continuous Improvement Framework have been developed to be consistent with the objectives of the Holistic Review as discussed in the Draft Staff Paper: Examination of ATRT3 Recommendation 3.5 (pg. 3, 4). **Therefore, SO/AC and NomCom Continuous Improvement Program reporting would produce data that is in-line with the data required the Holistic Review to perform its reviews as outlined in the Draft Staff Paper: Examination of ATRT3 Recommendation 3.5 (pg. 4).**



Analysis of Survey Results - Primary Input

Q14: Do you think there are other sources of data that should be collected from SOs, ACs, and NomCom before a Holistic Review begins?



Q14: Do you think there are other sources of data that should be collected from SOs, ACs, and NomCom before a Holistic Review begins?

No

1. No because the HR could have been started before the CIP implemented. Refer to the Reviews Multi Year Timeline (From ATR3) p.82 of the final report.
2. BUT there may be more data to be collected DURING a Holistic Review
3. I don't think there are other sources of data , However , I would like to note that the CIP includes annual satisfaction survey of members/participants, which support the CIP as well as the Holistic Review. The objectives of the holistic review include in depth analysis of the survey results (P.22 of the ATRT3 report)

Q14: Do you think there are other sources of data that should be collected from SOs, ACs, and NomCom before a Holistic Review begins?

Yes

1. Additional data/insights will be needed to understand:
 - inter-SO/AC operations
 - questions relating to SO/AC/NomCom as a whole
2. Not before but after such as: Interviews with key people within and outside SOs/ACs Emails Any documentation not used by the CIP SOs/ACs Governance documents
3. They are functional as primary sources but should be treated as tentative and incomplete for this process, unless found to be sufficient and complete. This is not to say that these data are bad but that they are not designed to / geared towards what we are trying to achieve.
4. The Continuous Improvement Program only measures the efficacy of each part of the organisation against a specific set of criteria. It takes no account of whether that organisational component provides the best way of representing the views of its constituents within the ICANN family. The multi-stakeholder approach only works if all stakeholders feel equally represented. Additional data is required to help evaluate that requirement.
5. I believe HR shall be open to any other consideration SO/AC & NC may understand as relevant to send to HR before or during its work.
6. I believe such sources of data might be identified through Pilot Holistic Review.

Administrative Items

January	February	March
06 - Plenary - Cancelled	03 - Plenary	Daylight Savings - will send out new invitations
15 - Co-Chair	11 - Co-Chair	
22** - Plenary	17* - Plenary	
28 - Co-Chair	25 - Co-Chair	